

# Heegaard Floer homology and Dehn surgery

## Problem Set 1

**Problem 1.** Let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be  $n$  mutually disjoint, simple closed curves on a closed oriented surface  $\Sigma$ . Prove that the homology classes  $[\alpha_1], \dots, [\alpha_n] \in H_1(\Sigma)$  are linearly independent if and only if the complement  $\Sigma \setminus (\alpha_1 \cup \dots \cup \alpha_n)$  is connected.

**Problem 2.** Find a genus 1 Heegaard diagram of  $S^3$ , and use it to compute  $HF^\infty(S^3), HF^-(S^3), HF^+(S^3)$ .

**Problem 3.** Let

$$(\Sigma, \{\alpha_1, \dots, \alpha_g\}, \{\beta_1, \dots, \beta_g\})$$

be a Heegaard diagram of  $Y$ . Prove

$$H_1(Y) \cong H_1(\Sigma) / \langle [\alpha_1], \dots, [\alpha_g], [\beta_1], \dots, [\beta_g] \rangle.$$

**Problem 4.** Prove the map  $\delta: \text{Spin}^c(Y) \rightarrow H^2(Y)$  is a one-to-one correspondence.

**Problem 5.** Suppose  $\mathfrak{s}_1, \mathfrak{s}_2 \in \text{Spin}^c(Y)$ , prove

$$\delta(\mathfrak{s}_1, \mathfrak{s}_2) = -\delta(\overline{\mathfrak{s}_2}, \overline{\mathfrak{s}_1}), \quad c_1(\mathfrak{s}_1) - c_1(\mathfrak{s}_2) = 2\delta(\mathfrak{s}_1, \mathfrak{s}_2).$$

As a consequence, show that the map  $c_1: \text{Spin}^c(Y) \rightarrow H^2(Y)$  is injective if  $H_1(Y)$  has no 2-torsion.

**CODIMENSION ONE FOLIATIONS - PROBLEM SET 1**  
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- (1) Let  $\mathcal{F}_m$  be the foliation of  $T^2$  described in Lecture 1.
- (a) Prove that if  $m \in \mathbb{Q} \cup \{\frac{1}{0}\}$ , then the leaves of  $\mathcal{F}_m$  are simple closed curves.
  - (b) Prove that if  $m \notin \mathbb{Q} \cup \{\frac{1}{0}\}$ , then the leaves of  $\mathcal{F}_m$  are injectively immersed copies of  $\mathbb{R}$ , and any leaf of  $\mathcal{F}_m$  is dense in  $T^2$ .
  - (c) What can you say about the leaf space of  $\mathcal{F}_m$ ?

- (2) An alternate way of viewing  $\mathcal{F}_m$ : as a *suspension of a homeomorphism of  $S^1$* :  
 Form a foliation  $\mathcal{G}_\phi$  of  $T^2$  as follows. First foliate  $[0, 1] \times S^1$  by straight line segments  $[0, 1] \times \{t\}$ . Then glue  $\{1\} \times S^1$  to  $\{0\} \times S^1$  by the homeomorphism

$$\phi : \{1\} \times S^1 \rightarrow \{0\} \times S^1 : (1, e^{2\pi it}) \mapsto (0, e^{2\pi imt}).$$

Let  $\gamma$  denote the simple closed curve  $\{1\} \times S^1$ . Revisit the questions of (1a) and (1b) with this model of  $(T^2, \mathcal{F}_m)$  in mind.

- (3) *Denjoy blow-up and Denjoy splitting*:

If necessary, consult Wikipedia on the Cantor function  $c : [0, 1] \rightarrow [0, 1]$  before proceeding further. Recall that the Cantor function is continuous. Note that under the identification  $S^1 = [0, 1] / \sim$ , where  $0 \sim 1$ , there is a ‘‘Cantor function’’  $c : S^1 \rightarrow S^1$ .

Suppose  $m$  is irrational, and view  $\mathcal{F}_m$  as given by a suspension of  $\phi$  as given in (2). The *Denjoy blowup* and *Denjoy splitting* of  $\mathcal{F}_m$  along a leaf  $L$  is described as follows.

Label the leaves of  $\mathcal{F}$  by  $L_x$ , where  $x \in L \cap \gamma$ . Pick any  $x \in S^1$ , and let  $x_n = \phi^n(x)$  for all  $n \in \mathbb{Z}$ . (Equivalently, pick any leaf  $L$  of  $\mathcal{F}_m$  and enumerate the countable many points  $L \cap \gamma$  as  $x_n, n \in \mathbb{Z}$ .)

Make the following precise:

- (a) ‘‘Blow up’’  $S^1$  to a longer circle  $C$  by replacing each  $x_n$  by a compact interval  $J_n$ , where the sum of the lengths of the intervals  $J_n$  is finite.
- (b) Define  $\psi : C \rightarrow C$  to agree with  $\phi$  on the complement of the orbit  $x_n$ , and extend linearly over the interior of the intervals  $J_n$ .

The foliation  $\mathcal{G}_\psi$  is called the *Denjoy blowup* of  $\mathcal{F}_m = \mathcal{G}_\phi$  along  $L_x$ . Removing the leaves of  $\mathcal{G}_\psi$  passing through the interiors of the the  $J_n$  results in a foliation  $\mathcal{F}'_m$  obtained by *Denjoy splitting*  $\mathcal{F}_m$  open along the leaf  $L_x$ . Note that the transverse cross-section  $\mathcal{F}'_m \cap \gamma$  is a Cantor set.

- (4) Let  $\tau$  be a train track, and  $N(\tau)$  an I-fibered regular neighbourhood of  $\tau$ . Recall that a curve is *carried by*  $\tau$  if it can be isotoped to lie in  $N(\tau)$  everywhere transverse to the I-fiber. It is *fully carried by*  $\tau$  if it is carried, with nonempty intersection with each I-fiber. A foliation is carried (respectively, fully carried) by  $\tau$  if after Denjoy splitting along finitely many leaves, the resulting lamination can be isotoped so that every leaf is carried (fully carried) by  $\tau$ .

Identify which foliations  $\mathcal{F}_m$  are carried (respectively, fully carried) by the train tracks  $\tau$  shown below. Do these train tracks carry (respectively, fully carry) any other foliations of  $T^2$ ?

